

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. §371**

US. APPLICATION NO. (If known, see 37 CFR §1.5)

10/502136INTERNATIONAL APPLICATION NO.
PCT/ZA03/00114INTERNATIONAL FILING DATE
15 August 2003PRIORITY DATE CLAIMED
16 August 2002TITLE OF INVENTION
AQUARIUM**DT03 Rec'd PCT/PTO 20 JUL 2004**APPLICANT(S) FOR DO/EO/US
Paul Warren SULSKI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. §371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The U.S. has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ An English language translation of the International Application as filed (35 U.S.C. §371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. §154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR §1.97 and §1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR §3.28 and §3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR §1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR §1.821 – 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. §154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. §154(d)(4).
20. ☒ Other items or information:
 - a. ☒ Return Receipt Postcard
 - b. ☐ _____
 - c. ☐ _____

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|---|---------------|---|---|------------------------------------|----|
| U.S. APPLICATION NO. 107502106 | | INTERNATIONAL APPLICATION NO. PCT/ZA03/00114 | | ATTORNEY'S DOCKET NUMBER 9425-4 | |
| 21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR §1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR §1.482) nor international search fee (37 CFR §1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1080.00 International preliminary examination fee (37 CFR §1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$920.00 International preliminary examination fee (37 CFR §1.482) not paid to USPTO but international search fee (37 CFR §1.445(a)(2)) paid to USPTO.....\$770.00 International preliminary examination fee paid to USPTO (37 CFR §1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$730.00 International preliminary examination fee paid to USPTO (37 CFR §1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | CALCULATIONS PTO USE ONLY | |
| | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR §1.492(e)). | | | | \$ | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 13 -20 = | 0 | X \$18.00 | \$ | |
| Independent claims | 7 -3 = | 4 | X \$86.00 | \$ 344.00 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | \$290.00 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 1,264.00 | |
| [X] Applicant claims small entity status. See 37 CFR §1.27. The fees indicated above are reduced by 1/2. | | | | -\$ 632.00 | |
| SUBTOTAL = | | | | \$ 632.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR §1.429(f)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ 632.00 | |
| Fee for recording the enclosed assignment (37 CFR §1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR §§3.28, 3.31). \$40.00 per property. | | | | \$ 40.00 | |
| TOTAL FEES ENCLOSED = | | | | \$ 672.00 | |
| | | | | Amount to be refunded: | \$ |
| | | | | Charged | \$ |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>672.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>50-0951</u> in the amount of \$ <u>.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0951</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR §1.494 has not been met, a petition to revive (37 CFR §1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: J. Rodman Steele, Jr., Tel: (561) 653-5000 Customer Number 30448 | | | SIGNATURE _____ J. Rodman Steele, Jr. NAME _____ 25.931 REGISTRATION NUMBER _____ | | |